

PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 1

**Complete if Known**

|                        |                  |
|------------------------|------------------|
| Application Number     | 10/827485        |
| Filing Date            | Apr 19, 2004     |
| First Named Inventor   | Makarand P. Gore |
| Art Unit               |                  |
| Examiner Name          | unassigned       |
| Attorney Docket Number | 200315628-1      |

| U. S. PATENT DOCUMENTS |                          |  |                                |  |   |
|------------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials*  | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                        |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
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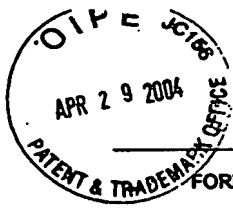
| FOREIGN PATENT DOCUMENTS |                       |   |                                |   |   |                |
|--------------------------|-----------------------|---|--------------------------------|---|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |   |   |                |
| /S.H./                   |                       | EP 0 760 237  | 03-05-1997                     | CIPLA Ltd.                                      |   |                |
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| Examiner<br>Signature | /Susanna Hoffer/ | Date<br>Considered | 10/17/2007 |
|-----------------------|------------------|--------------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**PATENT APPLICATION**

Sheet 1 of 1

FORM PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

**200315628-1**

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

**Makarand Gore**

FILING DATE

**April 19, 2004**

GROUP

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME | Pages, Columns, Lines Where<br>Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|------|--|
|                     | 1A |                    |                     |      |  |
|                     | 1B |                    |                     |      |  |
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|                     | 1I |                    |                     |      |  |
|                     | 1J |                    |                     |      |  |
|                     | 1K |                    |                     |      |  |

**FOREIGN PATENT DOCUMENTS**

|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME OF PATENTEE<br>OR APPLICANT | Pages/Columns/Lines Where<br>Relevant Passages/Figures Appear | Check if<br>Translation<br>attached |
|--|----|--------------------|---------------------|----------------------------------|---|-------------------------------------|
|  | 1L |                    |                     |                                  |   |                                     |
|  | 1M |                    |                     |                                  |   |                                     |
|  | 1N |                    |                     |                                  |   |                                     |
|  | 1O |                    |                     |                                  |   |                                     |
|  | 1P |                    |                     |                                  |   |                                     |

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

|        |    |  |
|--------|----|--|
| /S.H./ | 1Q | George Tsu-Ping Woo, "Formulation and Physicochemical Properties of Macro- and Microemulsions Prepared by Interfacial Ion-Pair Formation Between Amino Acids and Fatty Acids", The University of Texas at Austin, 1978, UMI Dissertation Services, Reg. No.: TX-2-789-091. |
|        | 1R |  |
|        | 1S |  |

EXAMINER

/Susanna Hoffer/

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10/17/2007

**PATENT APPLICATION**

Sheet 1 of 1

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|---|------------------|-----------------|------------------|
| <b>FORM PTO-1449</b><br><br><b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b><br><br>(Use several sheets if necessary) | ATTY. DOCKET NO. | APPLICATION NO. | CONFIRMATION NO. |
|   | 200315628-1      |                 |                  |
|   | APPLICANT        |                 |                  |
|   | Makarand Gore    |                 |                  |
|   | FILING DATE      | GROUP           |                  |
|   | April 19, 2004   |                 |                  |

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**U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL |    | DOCUMENT NUMBER | PUBLICATION DATE | NAME         | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
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|                  | 1I |                 |                  |              |   |
|                  | 1J |                 |                  |              |   |
|                  | 1K |                 |                  |              |   |

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|--------|----|-----------------|------------------|-------------------------------|--|-------------------------------|
| /S.H./ | 1L | WO 01/32143     | 05-10-2001       | CIPLA Ltd.                    |  |                               |
|        | 1M |                 |                  |                               |  |                               |
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|        | 1O |                 |                  |                               |  |                               |
|        | 1P |                 |                  |                               |  |                               |

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

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| /S.H./ | 1Q | George Tsu-Ping Woo, "Formulation and Phusicochemical Properties of Macro- and Microemulsions Prepared by Interfacial Ion-Pair Formation Between Amino Acids and Fatty Acids", The University of Texas at Austin, 1978, UMI Dissertation Services, Reg. No.: TX-2-789-091. |
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